

FROM

01-03-01 (WED) 12:26:01 14:11/ST. 14:10/NO. 570,5822

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Inventor: W. Richard Purcell, Jr.

Express Mail Label No.:

Title: "METHOD AND APPARATUS FOR OPTIMIZING INVESTMENT PORTFOLIO PLANS FOR LONG-TERM FINANCIAL PLANS AND GOALS"

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Enclosed for filing with the above-identified utility patent application are the following:

1. Applicant claims small entity status. See 37 CFR 1.27.
2. Specification (Total Pages of Text, including Abstract and Claims: 82)
3. Drawing(s) (35 USC 113) (Total Sheets: 45 formal)
4. Oath or Declaration (Total Pages: 2 signed)
5. Assignment Papers (cover sheet & document(s))
6. Information Disclosure Statement (IDS/PTO-1449)
7. Copies of IDS Citations (Number of References: 5)
8. Return Postcard (MPEP 503) (should be specifically itemized)
9. A check in the amount of \$ 856.00 is enclosed.

## FEE CALCULATION:

	(COL. 1) NO. FILED			(COL. 2*) NO. EXTRA		SMALL ENTITY			LARGE ENTITY	
						RATE	FEE		RATE	FEE
BASIC FEE:							\$370.00	OR		\$740.00
TOTAL CLAIMS:	74	-	20		54	X \$9 =	486.00	OR	X \$18 =	
INDEP. CLAIMS:	2	-	3		0	X \$42 =		OR	X \$84 =	
MULTIPLE DEPENDENT CLAIMS						+ \$140 =		OR	+ \$280 =	
*IF THE DIFFERENCE IN COL. 2 IS LESS THAN ZERO, ENTER "0" IN COL. 2.						TOTAL:	\$856.00			

## OTHER INFORMATION:

1. Correspondence Address:

W. Richard Purcell, Jr.  
810 S Lashley  
Boulder, Colorado 80305  
Telephone: 303/494-0399

Respectfully Submitted,

W. Richard Purcell, Jr.

Date: December 28, 2001

JC714 U.S. PTO  
10/034872  
12/28/01

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